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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Shen ZHAO

Appln. No.: To Be Assigned

Filed: September 30, 2005

For: ROTARY ACTUATOR

Attorney Docket No: 11955/9

Examiner: To Be Assigned

Art Unit: To Be Assigned

## INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following references:

U.S. DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME
6,153,952	11/28/2000	Ito et al.

FOREIGN DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY
2000-041372 A	02/08/2000	Japan
2002-209369 A	07/26/2002	Japan
2001-045719 A	02/16/2001	Japan
H63-249456 A	10/17/1988	Japan
H09-163708 A	06/20/1997	Japan

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Reference A2-A5 were cited in an International Search Report issued for the present PCT application. References A2-A5 are in Japanese. Applicant is disclosing reference A1, which is the counterpart U.S. patent of reference A2. For each of references A3-A5, Applicant is submitting an English abstract. Applicant is also submitting reference A6,

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along with its English abstract, which is discussed in the specification of the present application. Applicant respectfully requests the Examiner's consideration of the above references and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

September 30, 2005  
Date

  
Tadashi Horie (Reg. No. 40,437)

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JC12 Rec'd PCT/PTC 30 SEP 2005

FORM PTO-1449	SERIAL NO. To Be Assigned	CASE NO. 11955/9
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE September 30, 2005	GROUP ART UNIT To Be Assigned
(use several sheets if necessary)	APPLICANT(S): Shen ZHAO	

**REFERENCE DESIGNATION                      U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,153,952	11/28/2000	Ito et al.		
	A					
	A					
	A					
	A					
	A					
	A					

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A2	2000-041372 A	02/08/2000	Japan		US 6,153,952
	A3	2002-209369 A	07/26/2002	Japan		Abstract
	A4	2001-045719 A	02/16/2001	Japan		Abstract
	A5	H63-249456 A	10/17/1988	Japan		Abstract
	A6	H09-163708 A	06/20/1997	Japan		Abstract
	A					
	A					

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
	A	
	A	
	A	
	A	
	A	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.